

Account Number

Supplemental Account Holder Information Form

This form is intended to capture required information. Please complete this form for each account holder/authorized individual and attach it to the account application.

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT

To help the government fight the funding of terrorism and money-laundering activities, Federal law and contractual obligations to National Financial Services LLC ("NFS") require that your Broker/Dealer verify your identity by obtaining your name, date of birth, address, and a government-issued identification number before opening your account. In certain circumstances, your Broker/Dealer may obtain and verify this information with respect to any person(s) authorized to effect transactions in an account. For certain entities, such as trusts, estates, corporations, partnerships, or other organizations, identifying documentation is also required. Your account may be restricted and/or closed if your Broker/Dealer cannot verify this information. Neither your Broker/Dealer nor NFS will be responsible for any losses or damages (including but not limited to lost opportunities) resulting from any failure to provide this information, or from any restriction placed upon, or closing of, your account.

Account Holder/Authorized Individual

Social Security Number Taxpayer ID Number

U.S. Driver's License Number State of Issuance Date of Birth

Official Full Name of Business or Entity Line of Business

Countries of Citizenship U.S. Others (List All)

Legal Address - (Required Information - No P.O. Boxes)
Full Address

Mailing Address - (If different than Legal Address)
Full Address

Home Phone Work Phone Ext

Government ID - Foreign Citizens Only (Identification document must carry reference number and photograph.)

Immigration Status Permanent Resident Non-Permanent Resident Non-Resident

Place of Birth City State/Province Country

U.S. Driver's License (Provided Above) INS Permanent Resident Alien Card Passport with U.S. Visa Passport without U.S. Visa* Foreign National Identity Document*

Date of Issuance Expiration Date

Document Number Country of Issuance

*Information Required Bank Name Account Number Phone Number

Government ID - Foreign Entities Only

Type of Document

Government Issued Identification Number Country of Issuance

The attached customer agreement governing this account is hereby amended to include the following terms regarding the verification of customer identity. In the event of a conflict, the following provision shall control.

To help the government fight the funding of terrorism and money-laundering activities, Federal law and contractual obligations to National Financial Services LLC ("NFS") require that my Broker/Dealer obtain my name, date of birth, address and a government issued identification number before opening my account to verify my identity. In certain circumstances, my Broker/Dealer may obtain and verify this information with respect to any person(s) authorized to effect transactions in an account. For certain entities, such as trusts, estates, corporations, partnerships or other organizations, identifying documentation is also required. My account may be restricted and/or closed if my Broker/Dealer and/or NFS cannot verify this information. Neither my Broker/Dealer nor NFS will be responsible for any losses or damages (including but not limited to lost opportunity) resulting from any failure to provide this information, or from any restriction placed upon, or closing of my account.

Any information I provide to my Broker/Dealer may be shared by my Broker/Dealer and/or NFS with third parties for the purpose of validating my identity and may be shared for other purposes in accordance with any applicable privacy policy of my Broker/Dealer and the National Financial Services LLC Privacy Policy. Any information I give to my Broker/Dealer may be subject to verification and I authorize my Broker/Dealer and/or NFS to obtain a credit report about me at any time. Upon written request, I will be provided the name and address of the credit reporting agency used. My Broker/Dealer and/or NFS also may monitor or tape-record conversations with me in order to verify data about any transactions I request and I consent to such monitoring or recording.

I represent that I have read the terms and conditions set forth in the customer agreement concerning this account and agree to be bound by such terms and conditions as are currently in effect and as may be amended from time to time.

Signature Date

National Financial Services LLC, Member NYSE, SIPC 1.791393.100 - 355056